

Application No.: 10/077,853Docket No.: 30007315-2 (1509-281)**REMARKS**

The Office Action of March 22, 2006 has been carefully studied.

A Substitute Specification, that does not contain new matter, is submitted. The Substitute Specification corrects obvious errors in the specification previously submitted, for example, by changing "internet" to "Internet", and to correct a few grammatical points.

Independent claims 1, 8, 14 and 16 have been amended for clarity and to more particularly indicate that the controller varies the ability of the second node to access the service over the connection in response to a change in status of the digital prudential, to thereby enable a level of the service to be varied during the connection. This feature is disclosed, *inter alia*, on page 2, lines 29 and 30 of the previously submitted specification. Claims 17-21, respectively dependent upon claims 1, 8, 13, 16 and 12, indicate the level of the service is varied during the connection. The limitation of claims 17-21 is disclosed, *inter alia*, on page 11, line 24 – page 12, line 2; page 13, lines 17-24; page 14, lines 1-5; page 14, lines 26-28; page 22, lines 14-16; page 24, lines 24 and 25; and page 24, lines 31 and 32.

Independent claims 1, 8, 12, 13 and 16, as amended, are clearly patentable over Stefik et al., U.S. Patent, 5,629,980, previously relied upon to reject claims 1-16 under 35 U.S.C. 102(b). The Office Action relies on Figure 1, and the description thereof in column 7, lines 5-37 of Stefik et al. However, in neither Figure 1, nor column 7, lines 5-27 of Stefik et al. is there a disclosure of varying the ability of a second node to access a service over the connection in response to a change in status of the digital credential, as required by each of claims 1, 8, 13 and 16. To emphasis the point further, each of independent claims

**Application No.: 10/077,853****Docket No.: 30007315-2 (1509-281)**

1, 8, 12, 13 and 16 now requires the variation in the ability of the second node to access the service over the connection in response to a change in status of the digital credential to enable a level of the service to be varied during the connection.

In the Response to Arguments portion on pages 2 and 3 of the Office Action, the requirement to vary access to the services over the connection in response to a change in status of the digital credential is not discussed.

Based on the foregoing, claims 1, 8, 13 and 16 are allowable. In addition, newly submitted dependent claims 17-21 are clearly allowable.

Claims 2-7, 9-11 depend respectively on claims 1 and 8, and are allowable therewith.

The Office Action alleges claim 14 is substantially similar to claim 1, and is rejected for the same reasons. In fact, claim 14 is not substantially similar to claim 1. Claim 14 requires the first node to have a memory for storing the digital credential associated with the connection and a display for presenting to a user information associated with the digital credential. Neither of these limitations is in claim 1. Claim 14 also differs from claim 1 because claim 14 does not require a controller for varying access to the service over the connection in response to a change in status of the digital credential.

Based on the foregoing, the Office Action fails even to attempt to establish a *prima facie* case of anticipation with regard to claim 14. The Examiner has the burden of proving anticipation under 35 U.S.C. 102(b). There has been no attempt to establish such *prima facie* case with respect to claim 14.

Because claim 15 depends on claim 14, there has also been no attempt to establish a *prima facie* case with respect to claim 15.

**Application No.: 10/077,853****Docket No.: 30007315-2 (1509-281)**

In view of the foregoing amendments and remarks, favorable reconsideration and allowance are respectfully requested and deemed in order.

To the extent necessary, a petition for an extension of time under 37 C.F.R. 1.136 is hereby made. Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 08-2025, and please credit any excess fees to such deposit account.

Respectfully submitted,

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AML/dll

## Substitute Specification (Marked-Up)

**DIGITAL CREDENTIAL MONITORING****RELATED APPLICATIONS**

The present application is based on, and claims priority from, United Kingdom Application Number 0104078.1, filed February 20, 2001, the disclosure of which is hereby incorporated by reference herein in its entirety.

**BACKGROUND OF THE INVENTION**

As the popularity of the ~~internet~~Internet has grown so has the number of ~~internet~~Internet services available on the ~~internet~~Internet, both at the business to consumer and business to business level.

However, an issue of concern to both consumers and businesses with respect to the provision of e-commerce and associated services is that of security and trust.

To help address this issue secure web protocols have been developed, for example the secure sockets layer (SSL) protocol. The security provisions provided by SSL include server authentication, client authentication, data integrity and confidentiality.

Authentication is provided by the exchange of digital certificates between the two users establishing a secure connection over the ~~internet~~Internet. The exchange of the digital certificates is an important process in the establishing of security and trust between two parties interacting on the ~~internet~~Internet. This is particularly so when the parties have never had any previous business interaction.

### Substitute Specification (Marked-Up)

To provide confidence in the authentication process the digital identity certificates are issued by a trusted third party, for example Certification Authorities CA, who is responsible for managing the digital identity certificates life cycle.

The trusted third party monitors the status of a digital certificate. For example, the X.509 public key infrastructure (PKI) provides a check for the validity of X.509 certificates. This check, however, has to be done off-line. Therefore, a change in status of a digital certificate can not be monitored in real-time.

Current CA certificate management systems do not manage the real time "usage" of certificates at the application/service level, during active sessions within an enterprise. They are trust services external to the enterprise. They do not provide functionalities to an administrator to monitor the trustworthiness of digital credentials involved in active business transactions and tools to visualise aggregations of these certificates across multiple user web sessions

It is desirable to improve this situation.

### SUMMARY OF THE INVENTION

In accordance with one aspect of the present invention there is provided a computer system comprising a first computer node coupled to a network, the first node being arranged to provide a service to a second computer node via a connection over the network; a controller for determining access to the service based upon a digital credential associated with the connection, the controller being arranged to vary access to the service over the connection in response to a change in status of the digital credential.

This provides the advantage of determining access to a service in 'real-time', thereby allowing a service level to be varied during a connection.

## Substitute Specification (Marked-Up)

The term digital credential can include, identity certificate, attribute credential and anonymous credential.

Identity certificates are a collection of verifiable data containing information about the identity of entities, for example people, systems and applications. X.509 identity certificates are currently the most popular certificates used on the ~~internet~~Internet. An X.509 identity certificate binds a name to a public key.

Attribute credentials are a collection of verifiable attributes and properties associated to people, systems, applications and services.

Anonymous credentials contain attributes that are not associated to any identity credential, for example, electronic cash.

Therefore, users can analyse credentials to make decisions about the trustworthiness of the owners of the credentials.

Preferably the digital credential is an attribute credential of an entity associated with the second computer node.

Preferably the first computer node is arranged to provide the service to a plurality of computer nodes via a plurality of respective connections over the network.

Suitably the controller is suitable for arranging digital credentials into groups, the groups being associated with a respective secure connection to allow a user to monitor the status of the digital credentials associated with a secure connection.

Preferably the computer system further comprising a digital register for listing the status of digital credentials; monitoring means for monitoring the digital register for changes in the status of a digital certificate, wherein the controller is

## Substitute Specification (Marked-Up)

responsive to the monitoring means for varying access to the service in response to a change in status of the digital credential.

In accordance with a second aspect of the present invention there is provided a computer node for providing a service to a second computer node via a connection over a network, the computer node comprising a controller for determining access to the service based upon a digital credential associated with the connection, the controller being arranged to vary access to the service over the connection in response to a change in status of the digital credential.

In accordance with a third aspect of the present invention there is provided a controller for determining access to a service provided by a first computer node to a second computer node via a connection over a network, the controller being arranged to vary access to the service over the connection in response to a change in status of a digital credential associated with the connection.

In accordance with a fourth aspect of the present invention there is provided a method for providing a service, the method comprising establishing a connection between a first computer node and a second computer node via a network; providing a service for the second computer node from the first computer node via the connection; determining access to the service based upon a digital credential associated with the connection; varying access to the service over the connection in response to a change in status of the digital credential.

In accordance with a fifth aspect of the present invention there is provided a computer system comprising a first computer node coupled to a network, the first node being arranged to provide a service to a second computer node via a connection over the network; a controller for determining access to the service based upon a digital credential associated with the connection, the first node having memory for storing the digital credential associated with the connection

## Substitute Specification (Marked-Up)

and a display for presenting to a user information associated with the digital credential.

Preferably, the first node further comprises a controller for arranging digital credentials into groups, the groups being associated with a respective connection to allow a user to monitor digital credentials associated with a connection.

### BRIEF DESCRIPTION OF THE PREFERRED EMBODIMENTS

For a better understanding of the present invention and to understand how the same may be brought into effect reference will now be made, by way of one example only, to the accompanying drawings, in which:-

Figure 1 is a block diagram of a computer system according to one embodiment of the present invention;

Figure 2 is a more detailed block diagram of a computer system according to one embodiment of the present invention;

Figure 3 is a block diagram of a user computer node of the system of Figure 2;

Figure 4 is an illustration of a user interface screen associated with the node of Figure 3;

Figure 5 is another illustration of a user interface screen associated with the node of Figure 3;

Figure 6 is another illustration of a user interface screen associated with the node of Figure 3;



## Substitute Specification (Marked-Up)

Figure 7 is a block diagram of an enterprise computer node of the system of Figure 3; and

Figure 8 is an illustration of a user interface screen of the node of Figure 7.

## DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

Figure 1 shows a first computer node 1 (which could be, for example, a single computer or a plurality of computers), connected to a second computer node 2 (which could also be, for example, a single computer or a plurality of computers), via the ~~internet~~Internet 3. Both computer 1 and computer 2 have associated displays and keyboards, not shown. Also connected to the ~~internet~~Internet are certificate authorities, for example online certificate status protocol responder 4 OCSP, certificate verification server protocol responder 5 CVSP, certificate authorities CA 6 and attribute authorities 7 AA (for a description of these authorities see the ~~internet~~Internet engineering task force website [www.ietf.org](http://www.ietf.org)).

Computer 1 is arranged to support, typically, business or private users requiring services from a service provider on the ~~internet~~Internet 3, and as such includes a network protocol stack 8 including an ~~Internet~~Internet browser 9 for browsing the ~~Internet~~Internet, as is well known to a person skilled in the art. In addition to the browser 9 the protocol stack includes a 'browser plug in' 10 for handling trust related processes such as helping a user to explicitly manage the trustworthiness of digital credentials and pushing and pulling digital credentials during active ~~internet~~Internet sessions, as described below.

Computer 2 is arranged to support a service provider, typically an enterprise, for the provision of services to a client via the ~~internet~~Internet 3. Computer 2 incorporates a webserver 11 for providing web access to computer 2 for web clients, for example computer 1, as is well known to a person skilled in the art. In addition to a network protocol stack, computer 2 includes a digital credential

## Substitute Specification (Marked-Up)

management system 13 for handling trust related processes, such as the management of large numbers of heterogeneous credentials in real time, as described below.

As computer 1 is arranged to support a user requiring a service, to aid clarity computer 1 will also, in this description, be referred to as user 1 to identify the user, which could be a human operator or a software/hardware agent, of computer 1.

As computer 2 is arranged to support an enterprise providing an ~~internet~~internet service, to aid clarity computer 2 will also, in this description, be referred to as enterprise 2 to identify the enterprise which could be a human operator or a software/hardware agent, of computer 2.

To enhance the level of security between a service provider using computer 2 and a web client using computer 1 a secure connection, for example a secure socket layer (SSL) connection, (i.e. a session) is established between computer 1 and the webserver 11 incorporated in computer 2, as is well known to a person skilled in the art. The SSL allows the authentication of users by the mutual transfer of digital identity certificates, the identity certificates being signed by a trusted third party such as a certificate authority CA 6, as is well known to a person skilled in the art. Once the users have been authenticated private keys are exchanged to allow encryption of data exchanged between the users.

To allow further analyses and managing, by the enterprise 2, of digital credentials (e.g. identity certificates, attribute credentials) associated with a session, digital credentials are passed to a digital credential management system 14 at the enterprise side of the secure connection (i.e. computer 2).

The digital credential management system 14 is able to provide a full range of validation checks on the received digital credentials associated with a session

## Substitute Specification (Marked-Up)

according to a trust policy that is defined for the enterprise 2, for example by a computer administrator.

The validation checking of digital identity certificates associated with a session for the purposes of providing a service is defined as the user login phase. For this purpose the digital credential management system 14 incorporates a login service module 15, as shown in Figure 2, that interacts with a session manager module 16 to create a new session object that is associated with a secure session, for its whole lifetime. The session object associates extra users' information to their session, for example bank statements associated to a user.

The login service module 15 retrieves the identity certificate of user 1 from the web server 11 (used to establish the SSL session) and sends the certificate to a credential validation server module 17 of enterprise 2 for validation and trust management purposes.

The credentials validation server module 17 executes a two-phase control on the digital credential. First it performs "classic" verification tasks, like integrity and validation path checks. It interacts with external entities such as CA 6, OCSP 4 and CVSP 5 to check if the credential is still valid. OCSP 4 and CVSP 5 responders perform basic validation tasks on-line. Second, the module 17 determines the trustworthiness of the credential against explicit enterprise policies, for example checking explicit constraints on the validation path, on the issuer of the credentials, on the context in which the credential has been send.

Validation policies can be defined by an administrator and evaluated by an authorization server module 18, incorporated in the digital credential management system 14, thereby allowing the second task to be performed at runtime.

## Substitute Specification (Marked-Up)

The authorization server module 18 interprets authorization and validation policies on the fly. Policies are loaded when the authorisation server module 18 starts up, along with the relevant models (service model, credential models, etc.). At any time policies and models can be modified and reloaded by the authorization server module 18 without service disruption. This provides a high degree of freedom and flexibility to the administrator when dealing with trust management issues related to digital credentials.

If the digital credential under verification does not satisfy enterprise trust and validation policies, the credential is rejected by module 18 and an error message is sent back to the user 1. If the digital credential satisfies enterprise policies, then it is passed to a credential content management module 19 where the digital credential is abstracted and its content analysed and managed according to enterprise policies. The credential validation server module manages the interaction with the credential content manager module 19.

The digital credential content management module 19 receives digital credentials from the credential validation server module 17 to perform further trust analysis on the credential content.

The credential content management module 19 abstracts a digital credential according to an abstraction model to remove the credential's dependency on its low-level format. This allows the abstracted credentials to be seen as a collection of attributes by the other validation and authorization framework components, independently of their original representations.

The credential content management module 19 also manages the content of a digital credential according to trust and credential content management policies defined by the enterprise 2. These policies define which credential components (attributes) need to be trusted, depending on their values, their issuers, the

### Substitute Specification (Marked-Up)

presence of other credentials, etc. The evaluation of these policies is delegated to the authorization server 18.

Every type of digital credential (identity, attribute and anonymous credential) is subject to this process.

Once the digital credential has been abstracted and its content processed by module 19, the abstracted credential is returned by module 19 to the credential validation server module 17.

The credential validation server module 17 is interfaced to a user context manager module 20, so the credential validation server module 17 forwards the abstracted digital credentials to the user context manager module 20. The user context manager module 20 stores the abstracted digital credentials into a user context area 21 associated with a user's session.

A user context area 21 contains all the relevant information known about user 1 during an active web session, for example user profile, roles and digital credentials.

The user context manager module 20 manages the user context areas 21 and their associations to users' sessions, for the entire lifetime of these sessions.

The user context manager module 20 provides a set of application program interfaces (API) to access the content of a specific user context area 21 at different levels of abstraction. Module 20 allows the retrieval of attributes independently of their source (for example user profile, role and digital credential). In such a case module 20 attaches metadata to the retrieved attributes; examples of metadata are their scope, qualifiers to allow analysis and evaluation by the authorisation server module 18.

## Substitute Specification (Marked-Up)

When a new user context area 21 is created, the user context manager module 20 retrieves from a database (not shown) of the enterprise 2 (service provider) relevant user information, like their profile and their roles and stores the information in this user context. The stored information may have been obtained during previous transactions.

Each time the credential content management service module 19 successfully abstracts a user's credential, this credential is sent to the user context manager module 20 and stored in a user context area 21.

The user context manager module interacts with an object pool manager module 22 to dynamically manage the content of a user context.

Dynamic content management is useful as a particular role or a user profile could be valid just for a predefined period of time. Additionally a security administrator can modify the content of user profiles and roles at run time or during a user's session. Further, new digital credentials could be added to a user context area 21 during a user session and digital credentials could be disabled/removed from a user context area 21 during a user session.

The ability to deal with these dynamic changes is important for the provision of real time authorization and access control service. The object pool manager module 22 is in charge of dynamically updating the content of user contexts each time one of the above events occurs.

The user context manager module 20 supplies to a digital credentials usage monitoring service module 23 updated sets of active credentials (i.e. credentials that are currently used and enabled in a user context area) and digital credential usage monitoring service monitoring service 23 executes the request of enabling/disabling credentials depending on trust and business management decisions.

## Substitute Specification (Marked-Up)

The authorization server module 18 accesses a content of user contexts area 21 whilst evaluating policies. Policies may contain explicit constraints that need to be evaluated against the content of a user context area 21.

A user context gateway 24 manages the interaction between the user context manager module 20 and the digital credentials usage monitoring service module 23. Gateway 24 provides a high-level application program interface API that can be used to access both user context manager module 20 and digital credentials usage monitoring service module 23 functionalities.

The user context gateway 24 acts as a gateway in the following cases; (i) when the user context manager module 20 sends to the digital credentials usage monitoring service module 23 an updated list of the digital credentials involved in active users' sessions; and (ii) when the digital credentials usage monitoring service module 23 asks the user context manager module 30 to enable/disable digital credentials, depending on trust and business management decisions.

Once user 1 has established a secure connection with enterprise 2 and has successfully completed the login phase and had its digital credentials validated by the enterprise 2, as described above, the enterprise 2 can provide a requested service over the secure session. Alternatively, before the service is provided the enterprise 2 can ask the user to provide (push) further digital credentials (e.g. attribute credentials) in order to allow authorization to access services (i.e. to ensure that the enterprise has sufficient trust in the user).

User 1 can push an attribute credential to the enterprise 2 by using the browser plug-in 10, as described below. The browser plug-in 10 wraps a credential in a extended mark-up language (XML) message, contacts a credential proxy module 25 associated with the digital credential management system 14 in the

## Substitute Specification (Marked-Up)

enterprise/computer 2 and sends the message to the proxy module 25 over the secure connection.

The enterprise credential proxy module 25 is in charge of managing the push and pull process of attribute credentials.

During the push phase, the enterprise credential proxy module 25 extracts the attribute credential from the XML message and sends it to the enterprise credential validation server module 17 to be validated.

If the attribute credential is valid, it is sent to the credential content management service module 19 that abstracts it and sends it to the user context manager module 20.

The user context manager module 20 stores the digital credential in a user context area 21 associated with a relevant secure session and sends a copy of the credential to the credentials usage monitoring service module 23 to enable a real time monitoring of this credential.

User 1 can invoke the process of pushing a digital credential to the enterprise 2 at any time (and more than once) during an active user's session with the enterprise 2.

In addition the user 1 might want to obtain more information about an enterprise 2, before trusting the services of the enterprise and exposing the digital credentials of user 1 to the enterprise. The user 1 may ask the enterprise 2 to send the user 1 verifiable enterprise credentials containing trusted information (issued by a trusted third parties), about the way the enterprise operates, the quality of its services, references, etc.



## Substitute Specification (Marked-Up)

Further, the enterprise 2 (or an entity on its behalf) can issue and send new digital credentials to user 1, which will be owned by the user. For example, a bank could send to users digital statements containing information about their accounts. These user's credentials can enable further business transactions with other enterprises.

To request a digital credential (i.e. pull) from enterprise 2, user 1 sends an XML message to the enterprise 2 to request digital credentials. This message could contain a request to obtain enterprise's credentials or to collect new user's credentials. The request process can be a very simple low level communication and request mechanisms can be made transparent to the user. The messages are sent via the associated secure connection.

The enterprise credential proxy module 25 intercepts the user's request message and interprets it. If the request is valid, the proxy module 25 interacts with a credential issuer/pusher module 26.

The credential issuer/pusher module 26 is responsible for sending the enterprise's credentials to user 1 over the secure session, after verifying that the user 1 is entitled to receive the credentials. In order to do this, module 26 interacts with the authorization server module 18 to evaluate proper policies based on the content of the current user context area 21. The enterprise credentials are sent to the credential proxy module 25, which wraps the credentials in another XML message and sends the message to the user 1.

In addition the credential issuer/pusher module 26 also sends new user's credentials to user 1 over a secure session. This allows new credentials to be issued to user 1 in real time. The issuer of these credentials can be the module 26 itself or an external attribute authority. New digital credentials can be associated with the current user's identity or they can be anonymous. The module 26 verifies if the remote user is entitled to receive the new credentials.

## Substitute Specification (Marked-Up)

These new digital credentials are sent to the credential proxy module 25, which wraps the message in a XML message and sends it to the user over the secure connection.

The process of pulling digital credentials from enterprise 2 can happen at any time and more ~~that~~ than once during an active user's session with the enterprise 2.

The process of exchanging credentials over a secure connection, as described above, can be used to establish trust or to increase the level of trust between two parties during business interactions. This enhances the process of providing services over the ~~Internet~~ Internet with customers that enterprise 2 has had no previous business relationship.

This embodiment allows authorization policies to be associated to a service where the policies can be defined in a service model. If the authorization policies are defined in a service model, the authorization server module 18 loads the service model at start time (i.e. when authorization server module 18 is 'booted up'). Should the policies in the service model be modified, the authorization server module 18 can reload them at any time, without any service disruption.

In this embodiment, authorization is driven by policies. Depending on the service and the service functions a user wants to access, the authorization server module 18 is able to retrieve the correct set of authorization policies and evaluate them.

Different policy evaluation strategies can apply, so for example, if at least one relevant policy is satisfied, the authorization is granted and the service is provided.

### Substitute Specification (Marked-Up)

Whilst making authorization decisions, the authorization server module 18 can access a broad range of information. For example, service function information; service parameters; system information, like time, date, external access control information; and the content of the user context area 21 associated to the user in the current session: user profile, user's roles, user's digital credentials.

As stated above the management of digital credentials on the user 1 side is based on a browser plug-in 10 able to exchange credentials with enterprise 2 by using an XML based protocol. XML is used because ease and simplicity of use, however other languages can be used, for example HTML.

As shown in Figure 3 the browser plug-in 10 includes an XML-based protocol handler module 28, a sender/importer modules 29,30, a cache 31, a loader module 32, credential storage 33, a graphical user interface module 34 and pluggable modules 35.

The XML-based protocol handler module 28 manages incoming and out coming XML messages. It implements an interpreter of the XML protocol to deal with the push and pulling of messages.

The protocol consists of three XML messages, an INIT, a PUSH and PULL message.

The INIT message is a message containing initialisation information for the browser plug-in and includes the URL of the credential proxy module 25; and filtering information on digital credentials that can be sent by enterprise 2 to the user 1 (based, for example, on the credential issuer and signer).

The PUSH message contains one or more digital credentials sent by the user 1 to the enterprise.

## Substitute Specification (Marked-Up)

The PULL message contains one or more digital credentials sent by the enterprise 2 to the user 1.

~~As~~ Because the XML messages are exchanged on a secure connection (based on SSL) the messages do not need to be signed.

The sender/import modules 29, 30 are in charge of dealing with the process of pushing and pulling digital credentials.

The import module 30 extracts and manages digital credentials that have been sent to the user 1 by enterprise 2. In particular it manages attribute credentials pushed by the enterprise 2. These credentials could belong to the enterprise 2 (to increase the level of trust) or to the user 1 (new attribute credentials associated to the user). The import module 30 is able to discriminate between the above two cases and associate credentials to the right owner. The import module 30 interacts with external pluggable modules 35 (described below) to verify the trustworthiness of digital credentials and store them. The import module 30 is driven by the graphical user interface module 34.

The sender module 29 deals with digital credentials that have been sent by the user 1 to enterprise 2. It verifies if the selected attribute credentials can be pushed to the enterprise 2 by analysing the current context (e.g. user's identity certificate, association of attribute credentials to this identity, etc.) The sender module 29 creates the XML messages that are going to be pushed to the enterprise 2. The sender module 29 is driven by the graphical user interface module 34.

The cache 31 is a volatile cache to store digital credentials involved in web sessions. These credentials may belong to the user 1 or the enterprise 2. Part of the cache memory is used to store the set of trusted CA roots (used for trust verification) retrieved from the credential storage 33.

## Substitute Specification (Marked-Up)

The loader module 32 loads X.509 identity certificates from the credential storage 33, which ~~includes~~include trusted root CA certificates. These certificates are used for credential validation purposes.

The pluggable modules 35 are external to the browser plug-in 10. They provide core functionalities in term of credential management, for example validation, verification, storage. These modules 35 are plugged-in in the browser plug-in 10. This approach provides freedom to use proper and ad-hoc validation and storage solutions. User can implement their own ad-hoc validation and storage modules according to their requirements.

The credential storage 33 is a secure storage for attribute credentials. While identity certificates (X.509 based) are stored in the credential storage 33, digital signed XML attribute credentials are explicitly stored and secured in a separate database.

The graphical user interface module 34 is arranged to allow the credential information to be displayed on the display (not shown) and for user 1 to manage the secure sessions, thereby allowing the overall user experience to be simplified when dealing with digital credentials and associated management of trust.

The graphical user interface module 34 can arrange the whole set of digital credentials exchanged and involved in an active web session between a user 1 and a enterprise 2 to be displayed. For example, identity certificates and attribute credentials pushed by the user 1 to the enterprise 2; and identity certificates and attribute credentials owned by the enterprise 2 and pushed by enterprise 2 to the user 1.

The graphical user interface module 34 can be configured to automatically notify user 1 when a new digital credential has been sent to user 1. The user 1 can

## Substitute Specification (Marked-Up)

accept or reject a credential after the trust verification and validation processes (automatically executed by the system).

During a web session, the graphical user interface module 34 manages and checks the associations between attribute certificates and the legitimate identity certificates. In particular, this control is performed on Incoming digital credentials. The graphical user interface module 34 automatically rejects attribute credentials that are not trusted or do not relate to any of the identity certificates used in the current session.

The graphical user interface module 34 dynamically manages the portfolio of an active user's credentials. The graphical user interface module 34 can be configured to just present to the user 1 the list of attribute certificates the user 1 is entitled to push to the enterprise 2 (set of attribute certificates associated to the current identity).

Pushing a credential to the enterprise 2, from the user's 1 perspective, can simply be the dragging and dropping of an attribute credential in a session box (i.e. the graphic box on the display that represents the secure connection).

Figure 4 is an illustration of an example of a possible user interface screen. The top left panel of the user interface screen, shown in Figure 4, displays the updated set of digital credentials that have been exchanged during an active session both by the user 1 and the enterprise 2. This panel contains a reference to the identity certificate used by the user 1 to establish the SSL connection and any attribute credentials that may have been transferred over the SSL connection.

The bottom left panel of the user interface screen, shown in Figure 4, provides information about user's 1 credentials. In particular it displays only the attribute credentials that are associated to the current identity certificate.

## Substitute Specification (Marked-Up)

The user can exchange any of their credentials by selecting the appropriate credential and ~~drag~~dragging and dropping it in the "Session" panel.

Figure 5 is a view of the left side of the user interface screen after the user has pushed a citizenship credential.

The user interface panels can display both user's credentials and the credentials exchanged by with enterprise 2.

Figure 6 shows a user 1 interface screen displaying the contents of an attribute credential provided by a market maker to the user. The attributes contained in the credential can be relevant to increase the perception of trust. For example, the attribute credential shown in figure 6 shows that the market maker is compliant with the security and audit requirements:

A user can administer at any time its current portfolio of digital credentials, even when they are no active sessions.

The corresponding module on the enterprise 2 for handling the XML-based messages during an active secure session is the credential proxy server module 25.

As described above the credential proxy server module 25 receives messages containing digital credentials sent by the user 1 to the enterprise 2. Module 25 extracts these credentials from the XML message and sends the credentials to the validation server module 17, which validates the certificates and adds them to the appropriate user context area 21.

Digital credentials to be sent by the enterprise 2 to user 1 are forwarded to the credential proxy server module 25. The credential proxy server module 25 wraps

## Substitute Specification (Marked-Up)

the digital credentials in an XML message and sends the message over the secure session to the user's 1 browser plug-in 10 when required.

To provide real time status of a digital credential the credential\_usage monitoring service module 23 implements a real time monitoring system for digital credentials presented by user 1 to enterprise 2, during an active web session, as described below.

This credential usage monitoring service module 23 is able to deal with real time, session-based credential validation and aggregation. The module 23 can provide (1) different views on a set of credentials to a security administrator and (2) tools for validating credential trustworthiness against enterprise policies.

In addition the credential usage monitoring service module 23 can retrieve active digital credentials from the user context manager module 20 and aggregate them according to views required by the security administrator.

Examples of views supported by the credential usage monitoring service module 23 are: aggregation of attribute credentials and Identity certificates in the context of a web session (between user 1 and the enterprise 2); aggregation of attribute credentials and Identity credentials depending on the presence of specific attributes. For example credentials can be aggregated depending on the name of the company, the owner of a credential ~~works-for-works~~, or the name of a particular attribute (Credit Limit, Citizenship, etc.).

Further the credential usage monitoring service module 23 can provide a dynamic control over the usage of digital credentials at the service level.

For example, an administrator can verify the validity of digital credentials by causing the credential usage monitoring service module 23 to interact with the validation service module 17 (driven by policies) or external validation



## Substitute Specification (Marked-Up)

mechanisms. Also an administrator can enable or disable users' credentials in real time. The credential usage monitoring service module 23 can interact with the user context manager module 20 to update its content.

As shown in Figure 7, the credential usage monitoring service manager 23 includes an object manager module 36, a session cache manager module 37, a data model module 38, an aggregation module 39, a credential usage control module 40 and a graphical user interface module 41.

The object manager module 36 acts as a proxy between the user context gateway module 24 and the session cache manager module 37. The object manager module 36 retrieves credentials contained in active user contexts areas 21 (Fig. 2) and the list of active users' sessions. The module 36 then provides this information to the session cache manager module 37. Should the status of a credential change, the module 36 will communicate this change to the user context manager 20.

The session cache manager module 37 caches information about the current set of active sessions and their associations to digital credentials. The session cache manager module 37 provides the cached data to the data model module 38.

The data model module 38 contains information relating to how to interpret the content of digital credentials associated to sessions and how to represent them graphically.

The aggregation module 39 implements functions to aggregate digital credentials depending on administrator's queries and selection criteria. These criteria could involve the content of digital credentials, value of particular attributes, association constraints, etc.

## Substitute Specification (Marked-Up)

The credential usage control module 40 controls the validity and trustworthiness of digital credentials associated to active sessions whilst they are used to access services. The control is driven by enterprise policies. The credential usage control module 40 retrieves the set of credentials and sessions to be controlled from the aggregation module 39.

The most common controls performed on credentials include: checking the validity of credentials, verifying the trustworthiness of the credentials against enterprise policies, and verifying the validity of associations of attribute credentials with identity certificates.

The credential usage control module 40 can execute these controls in a programmable way. The controls can be scheduled and done periodically, each time a new credential is added or can be driven by administrator's initiatives.

The credential usage control module 40 notifies the object manager module 36 of any change of digital credential status.

An administrator can access the functionalities of the credential usage control module 40 by using a user interface associated with enterprise 2 via the graphical user interface 41.

The graphical user interface module 41 implements the graphical routines, which are accessible to an administrator by the user interface.

The graphical user interface module 41 generates user interface screens for display on a display (not shown),

The user interface screens simplify the overall interaction of an administrator with the credential usage monitoring service module 23 by providing an abstract graphical representation of digital credentials and relationships among them.

## Substitute Specification (Marked-Up)

The user interface screens display aggregations and views on digital credentials in an intuitive way and allow the administrator to easily access tools to manage the validity and trustworthiness of digital credentials.

The user interface screens can provide a list of all the active user contexts areas associated to user web sessions. The list can be updated dynamically, in real time.

An administrator can select or look for a set of credentials and execute operation on it (enable, disable and verification).

Figure 8 is an illustration of an example of a possible user interface screen. The top panel of the user interface screen contains information about the current set of active contexts (active context list), each of them associated with an active user session. Because the enterprise 2 is able to simultaneously establish a plurality of secure connections with different users, the interface screen is arranged to display each active user session.

Each row in the top panel of Figure 8 is an abstraction of an active user context and contains references to the associated identity and attribute credentials. The contents of this display are updated in real time each time new users log in, exit their connections or push new credentials.

The user interface allows an administrator to select rows or a sub set of row and apply search criteria. The user interface can be used to define search and grouping criteria for credentials.

The user interface can allow the administrator to directly intervene on credentials and change their status in real time.